

# Secure & Defend: FTC Safeguards Compliance

Protecting nonpublic personal financial information under the Gramm-Leach-Bliley Act (GLBA).

**The Mandate:** If your business handles customer financial data, the FTC Safeguards Rule requires a comprehensive, documented cybersecurity program. Staying compliant is a regulatory requirement designed to prevent breaches and uphold customer trust.





# The Anatomy of the Rule.

What is actually required:



**Qualified Individual:** Designate a specific leader to supervise the program.



**Risk Assessments:** Conduct periodic assessments and continuous reviews.



**Implement Safeguards:** Deploy access controls, encryption, and secure authentication.



**Staff Training:** Regularly test staff effectiveness and security awareness.



**Vendor Oversight:** Monitor service providers and require security commitments.



**Incident Response:** Maintain a written plan and comply with breach reporting.

# The Price of Non-Compliance.

The cost of inaction:

## Regulatory Penalties

Fines for failing to protect data under GLBA.

## Legal Action

Civil lawsuits and damages from affected customers.

## Reputation

Erosion of trust and loss of competitive advantage.

## Operational Risk

Exposure to evolving cyber threats without defense.



# From Assessment to Maintenance: How We Achieve Compliance





# Why Partner With Us?

## Regulatory & Security Experts

We understand both the technical implementation and the regulatory nuance of the Safeguards Rule.

## Customized Roadmaps

Solutions tailored specifically to your business size, structure, and risk tolerance.

## Clear Communication

We demystify requirements and provide straightforward, action-oriented recommendations.

Don't leave your reputation unprotected. Partner with professionals who make compliance achievable.

**Contact a Safeguards Compliance Consultant**



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